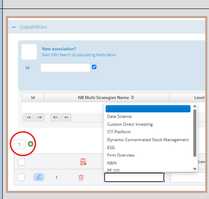
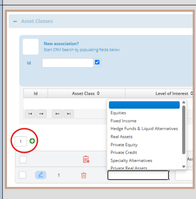
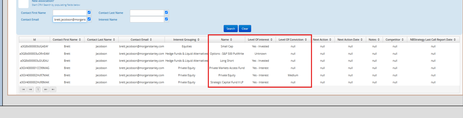
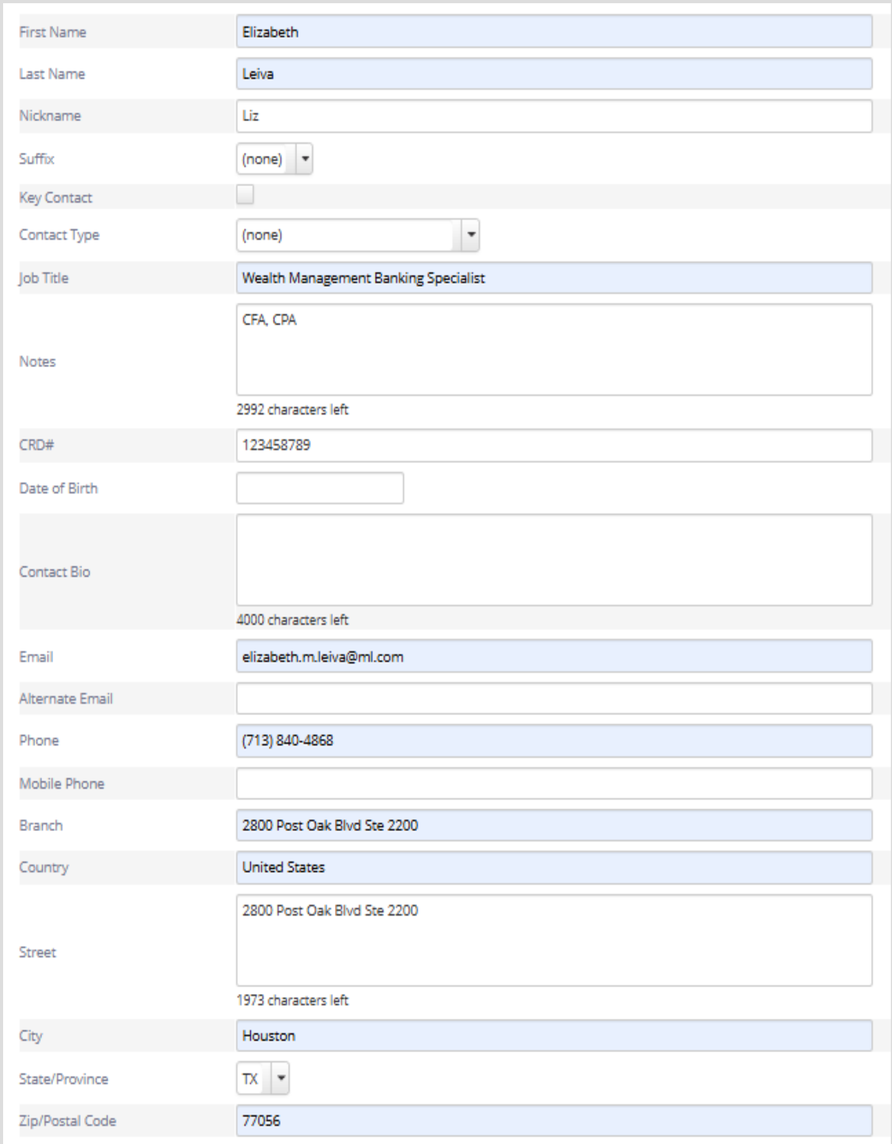
Internal Comments	Populate with missing information such as FA's Name in SF, CRD, timestamps, comments for the proofer, etc.	
Date	• Capture as specified by WS. • If not specified, use the Recording date. • Skip the Time field (no time field in the CRM). • If time was specified, put it in the Key Discussion Points field (should be on top of the main notes). • For multiple notes from the same recording, assume the previous date unless a new date is specified	
Group	Tick checkbox if there are two or more advisors.	
Contact	**No CRM Sync creds:** • Click the green plus (+) icon to manually add a field • Capture as specified, full name or nickname • If the WS misspelled, search and put the correct spelling in the field • If the WS requested that the entire team be tagged, coordinate with NB teammates to obtain the necessary names **With CRM Sync creds:** • Use the Contact CRM Search tool and search by either CRD#, email, name, firm, city, or state. • Click the search result highlighted in yellow. • Required to add Neuberger Berman folks as attendees if present in the meeting and/or specified by the WS.	
Subject	• Follow the DEFAULTs below if WS did not specify. **Calls (Phone Call, Zoom Call, WebEx, [Microsoft] Teams):** **Call with [FA Name]** – for calls with one attendee **Call with [FA Name and FA Name]** – for calls with two attendees **Call with [Team Member Name]** - for calls that took place with a member of the team; not the FA **Call with [Team Name]** - for calls with three or more attendees (if same team) **Zoom/WebEx/Teams Call with [FA Name]** – for virtual with one attendee **Zoom/WebEx/Teams Call with [FA Name and FA Name]**– for virtual with two attendees **Zoom/WebEx/Teams Call with [Team Name]** – for virtual with three or more attendees (if same team) **Voicemails:** **Left Voicemail - [FA Name]** – if WS specified as voicemail or voice message **Left Message - [FA Name]**– if WS specified as left message **Meetings [in person]:** **Meeting with [FA Name]** – for in person meetings with one attendee **Meeting with [FA Name and FA Name]** – for in person meetings with two attendees **Meeting with [Team Name]** – for in person meetings with three or more attendees **Emails [Email, Email Contact]:** **Email - [FA Name]** **Email - [Team Name]** • Thank You Note — is the subject for Nick Driscoll's handwritten thank-you notes • Jose Garcia — if he mentions "Topic" or "Subject" or "Product/s discussed" capture it as the subject of the activity. • Drop-offs — if WS specified as not a meeting, use "Dropped off (materials/info/treats/trinkets/gifts)" or "drop-off"	Meeting with 3 attendees from Merrill Lynch: Meeting with Merrill Lynch
Status	**Completed** — default	
Method	• Select as specified. If none, base on the context. **:Call** — for calls over the phone **:Video/Content Sharing Call** — for video calls (Zoom, WebEx, virtual) **:In Person** — for face-to-face meetings **:Email** — for emails • Thank You Note activities by Nick Driscoll are Email/Email. • Drop-offs — use Email	
Activity Type	• Select as specified. If none, base on the context. • Refer to the Method. • For Calls, activity type can either be: **:Call Attempted** **:Call Contact Call Left Message** **:Scheduled Call** • For Video / Content Sharing Calls, activity type can either be: **:Call Contact** — if WS specified **:Scheduled Call** — the **DEFAULT** for Virtual meeting / Zoom • For Meetings, activity type can either be: **:In Person Meeting** — for face-to-face meetings with one or two advisors attended **:Group Meeting** — for three or more attendees **:National/Regional Client Conference** — if WS specified **:Industry Conference** — if WS specified **:Sales Desk Training** — if WS specified **:Ride Along/Advisor Meetings** — if WS specified **:Entertainment** — if WS specified • For Emails, activity type can either be: **:Email** — if email was sent and no exchanges occurred **:Email Contact** — if email was sent and correspondence followed • As per the POC: "When the team indicates that they did a virtual meeting or zoom (Activity Method), can you please default that Activity Type to Scheduled Call? If they specifically mention that it wasn't scheduled, it can be a Call Contact, but Scheduled Call should be the default." • Thank You Note activities by Nick Driscoll are Email/Email. • Drop-offs — use Email	
Activity Purpose	• Select as provided. If none, leave blank. • If David Latour and Curtis Goldsmith did not provide, send clarification email. • For follow-ups assigned to David Latour or Curtis Goldsmith, tag Pipeline Management as **default**.	
Key Discussion Points	• If time is specified explicitly by WS, capture and place above the main notes in the format below. **Examples:** Time: 1 hour Time: 12:00PM Time: 40 minutes • **CLEAN VERBATIM** • **Remove fillers and retractions.** • **Contractions are okay (ex. they're, we're, you'd, I'd)** • **Dollar amounts format: $100,000 (or $100k), $10 billion, $5 million** • **Numbers for ages (ex. 9, 8-year-old, 7 years old)** • **Follow-up tasks should be in a separate paragraph below the main notes** **or in beween the notes depending on how it was dictated.**	• ***Follow-up Task format for Internel/WS:*** *Matt, please follow up with fact sheets on Long Short and Equity Income.* *Or* *Follow-up:* *1) Matt to send info on funds discussed.* *2) Jerry to send 3SR links.* • **If WS explicitly said the follow-up task is done, follow the format below:** *Matt, please follow up with fact sheets on Long Short and Equity Income. — done* *Or* *Follow-up:* *1) Matt to send info on funds discussed. — done* *2) Jerry to send 3SR links. — done*
DB/DC Discussion Focus	Capture as provided. Skip if none.	
NB Resources	• **401K — default** for **Retirement wholesalers.** • **PM Team** — Select if Neuberger Berman Portfolio Managers or PM Team members, or if Product Specialists are present in the meeting whether in person or via phone call, or video call. • **Dual Contract** — Select if specified or if discussed in the meeting	
Discussion Focus: Capabilities	**Click the (+) icon to add a field and tag. One item for each field only.** **Add more fields for more taggings.** • **Data Science** — Select if discussed. • **Custom Direct Investing** — Select if discussed. • **CIT Platform** — Select if specified or discussed by wholesaler. • **Dynamic Concentrated Stock Management** — Select if discussed. • **ESG** – Select if the topic of ESG investing was discussed. • **Firm Overview - Select as default if no product-related was discussed.** • **NBAI** – Select if Family Love Letter (FLL) or NB Advisor Institute (NBAI) was discussed; also if either Anticipating the Unexpected, or Life Events Series, or Surviving Spouse Checklist was discussed. • **PE 101** – Select if discussed. • **PE in Practice** – Select if discussed.	
Discussion Focus: Asset Classes	**Click the (+) icon to add a field and tag. One item for each field only.** **Add more fields for more taggings.** Select as specified or if discussed. **• For Private Equity Funds, if not specified by WS, search in the FA's profile in Salesforce the related offering/vintage that has been tagged, if none, tag the latest offering available in the list.** **Example:** For Select Opportunities, select Opportunities Fund VIII. For Secondary Opportunities, select Secondary Opportunities Fund VI. For Crossroads, select Crossroads Private Markets Fund VII.	 **Dictated:** *"Kip wants to set up a call with a member of our fixed income team to have a further and more detailed discussion on NB5D"* **Discussion Focus:** NB5D ********** **Dictated:** *"Hasn't been buying too much fixed income, concerned there"* **Discussion Focus: Asset Classes:** fixed income **Interest:** Yes Interest **Conviction:** Low ********** **Dictated:** *"Interested in using private equities and alternatives"* **Discussion Focus: Asset Classes:** fixed income and private equity **Interest:** Yes Interest **Conviction:** Medium (add this to the Notes as well) ********** **Dictated:** "Interested in using private equities and the Access Fund" **Discussion Focus: Asset Classes:** PMAF (no need to tag the private equity asset class because a specific PE fund was discussed)
Product/Strategy	**Use the Search Tool to find Products.** **Can use either of the following fields:** • **Product Name — to search by product name** • **Alternate Name — to search by ticker symbols** • Select NB funds discussed in the Key Discussion Points. *Refer to NB Products sheet.* • **(US Mutual Fund) – DEFAULT** for ASG External (broker-dealer) wholesalers; domestic funds • **(MAG SMA)** – select for separately managed accounts (SMA) products • **UCITS** – select for offshore funds or non-US funds • **(Private Equity Fund) – select for Private Offering** and **(Closed End Fund)** – select for private equity funds • **(ETF tickers symbols)** – select for ETF products • **(CIT)** – select for Collective Trust funds • **(Closed End Fund)** – select for CEF funds **• For Private Equity Funds, if not specified by WS, search in the FA's profile in Salesforce the related offering/vintage that has been tagged, if none, tag the latest offering available in the list.** **Example:** For Select Opportunities, select Opportunities Fund VIII. For Secondary Opportunities, select Secondary Opportunities Fund VI. For Crossroads, select Crossroads Private Markets Fund VII.	**ASG External Wholesalers:** Tag **US Mutual Funds** unless preferences indicate otherwise. Always check the preferences as some WS prefer UCITS over the US mutual funds. **Muni SMAs:** Neuberger Municipal - Intermediate Maturity (MAG SMA) Neuberger Municipal - Limited Maturity SMA (MAG SMA) **Tax-Exempt Fixed Income SMAs:** Neuberger Municipal - Intermediate Maturity (MAG SMA) Neuberger Municipal - Limited Maturity SMA (MAG SMA) **Taxable Fixed Income SMAs/TFI SMAs:** Neuberger Taxable Fixed Income - Intermediate Maturity (MAG SMA) Neuberger Taxable Fixed Income - Limited Maturity (MAG SMA) Neuberger Taxable Fixed Income - Core Bond (MAG SMA) **Large Cap Value:** Large Cap Value Fund - Domestic UCITS US Large Cap Value Fund – Offshore **BoxSpreads:** Neuberger Options - S&P 500 BoxSpread (PAM SMA) **Options Overlay:** Neuberger Options - S&P 500 PutWrite (Confirm with NB team if this is the fund or if it's referring to a different options fund.) **Select Opportunities** – Select Opportunities VIII
Level of Interest	• Capture as specified. If none, base on the context. **For Product/Strategy and Asset Classes:** • **Yes Interest** — **default if FA is interested in the fund.** • **Yes Needs Education** — if FA is interested to learn more about the NB fund. • **No Strategy/Asset Class** — **Default if FA is NOT interested in the NB fund, if it's a hard NO.** • **No Fees** — if FA is not interested in the NB fund due to fee concerns. • **No Passive** — if FA prefers Passive management. • **No Performance** — if FA is not interested due to the NB fund's Performance. • **No Process** — if FA is not interested in the NB fund's Structure/process. • **No Timing** — if FA is not able to use the NB fund at the moment or is using other firm funds, or too hectic/busy to deal with funds not in their portfolio • **No Competitor** — if FA is not interested in the NB fund due to liking the competitor fund better whether it be due to performance, fees, structure, etc. • **No Consultant Dependent** — Select if specified • **Unknown** — In Dana, leave this field blank. There is no need to tag contact interest. **For Capabilities:** • **Yes** — Select if FA is interested on a capability/s. • **No** — Select if FA is NOT interested on a capability/s. **Examples:** Strategic Income Fund – Yes Interest Value Fund – No Strategy/Asset Class Intrinsic Value Fund — Yes Interest Neuberger Small Cap Intrinsic Value SMA — Yes Interest Neuberger Large Cap Value SMA — Yes Interest Neuberger Taxable Fixed Income - Core Bond SMA — Yes Interest Fixed Income – Yes Interest NBAI – Yes • For ESG Multi-Asset Class/ML IMM model portfolios contact interest tagging, refer to General Info tab. • If products are not yet available in the list, still tag them for contact interest. They will be added later when the queue is cleared.	
Level of Conviction	Capture as specified. If none, base on the context. Skip if Level of Interest is a **NO** but provide reason/comments/details in the product notes. Tag conviction for **NBAI** and Custom Direct Investing • **High** — if FA is invested, committed dollar amount, sent/dropped tickets, paperworks involved, meets with PM, if fund is marked for Opportunity creation. • **Medium** — if interested or expressed "like" but no movements or commitments yet, run comp requests, materials/info request, more education on the fund. • **Low** — **Default;** usually just intro to funds, general overview, walkthroughs. Lukewarm interest, "meh", so-so, no comments at all on the fund/s, interested but waiting for market timing, interested but slow to decide **Example:** Strategic Income Fund – Medium Intrinsic Value Fund — Low Neuberger Small Cap Intrinsic Value SMA — Low Neuberger Large Cap Value SMA — High Neuberger Taxable Fixed Income - Core Bond SMA — Medium Fixed Income – High NBAI – Medium • No need to capture the names in parentheses: (US Mutual Fund), (MAG SMA), (PAM SMA), (MAG Model). Just capture whether it's a Fund or SMA, see examples above. • If products are not yet available in the list, still tag the conviction. They will be added later when the queue is cleared.	HIGH **Dictated:** *"He's going to use us for some Taxable Fixed Income business"* **Conviction:** High (expressed commitment to using the fund) ********** MEDIUM **Dictated:** *"We discussed BoxSpreads at length, very interested. He's familiar with the strategy, we just discussed operations."* **Conviction:** Medium (expressed high interest but have not yet invested or committed) ********** LOW **Dictated:** *"Highlighted Strategic Income and Short Duration EMD. Used EM funds in the past, had a bad experience, but understands the benefit of EM versus US fixed income with current markets."* **Conviction:** Low (only talked about the funds, and even had a negative experience)
Competitor	• Populate with the NB product and its competitor products as discussed. Skip if none. **Example:** **[NB Product Name] — [Competitor Product Name/Ticker]** Large Cap Value Fund – Smead Value, MFS Value, JPMorgan Equity Income Large Cap Value SMA – COWZ ETF Strategic Income Fund – PIMCO Income, Putname Strategic Income Core Bond Fund – Lord Abbett Bond Debenture, American Funds Bond Fund of America • If WS dictation is vague and unsure of the specific fund/SMA competitor: ?Tag fund vs fund ?Tag SMA vs SMA.	**Dictated:** *"Asked about her interest in private markets, heavy BXPE and BCREDIT user"* **Competitor:** BXPE, BCREDIT
Next Action	Capture as specified, skip if none.	
Next Action Date	Capture as specified, skip if none.	
Notes (Product/Strategy/others)	• Populate with product notes. • **Required if NB Private Equity fund/s was discussed.** • **Required if FA's interest is a NO.** • For US Mutual Funds, not required but any good info about FA's thoughts/comments/feedback would be helpful for our clients.	**Note Text:** *"...Also reviewed Large Cap Value, confirmed available, domestic and offshore"* **Notes:** Also reviewed Large Cap Value, confirmed available, domestic and offshore **Note Text:** *"...We discussed BoxSpreads at length, very interested. He's familiar with the strategy, we just discussed operations. I've sent him the data, it's going to hit due diligence, and we will go from there. His partner firm should be looking to move forward here."* **Notes:** We discussed BoxSpreads at length, very interested. He's familiar with the strategy, we just discussed operations. I've sent him the data, it's going to hit due diligence, and we will go from there. His partner firm should be looking to move forward here.
Contact Interest / Planning Search	No need to tag anything. Used only to search for previous contact interests in case the notes does not indicate interest and conviction, or was vague. • Search the FA's profile. Searching by email is more accurate. • To find the specific product interest and conviction, search the product name in the **Interest Name** field.	

Due Date	• If not specified by WS, due date should be the following day or the next business day. • If the note was processed on Friday ET, due date should be on Monday ET of the following week.
Status	DEFAULT – **In Progress**
Follow-up Method	DEFAULT – **Follow-up**
Activity Purpose	• Select as provided. If none, leave blank. • For follow-ups assigned to David Latour or Curtis Goldsmith, tag **Pipeline Management** as **default**.
Assigned To	• Can either be the **Wholesaler** or the **Internal Partner** • **Capture as specified by the wholesaler. If not specified, assign to the Internal.** • **If no Internal, assign to wholesaler.**
Subject	• If not specified by the WS, copy the **Subject** from the main notes. **Example:** Meeting with John Smith Call with John Smith
	• If task is assigned to the Internal partner, subject format is **[WS Name] - Meeting with [FA Name]** **Example:** Jose Garcia - Meeting with John Smith Jerry Hanley - Call with Kaushik Bhakta
	• Jerry Hanley will specify his own subject line for follow-ups, please follow. **Example:** John Smith/ Follow-up items • If follow-up notes exceeds the 253 character limit, add this in the Subject: (Please see [Activity Date] notes) **Example:** John Smith/ Follow-up items (Please see June 11 notes) Meeting with John Smith (Please see June 11 notes)
Notes	• **Actionable items and tasks to be done by either the WS or the Internal partner** • **Character limit in Salesforce is 253 characters, apply same rule in Dana.** • **Use LibreOffice Writer for word count.** **Example of Truncated Notes:** *I spoke with Aiden on the NB Core Bond SMA. We presented the transition analysis to Linda, Patty and Aidan on 3/13. Aidan said he would check in with the team on any pending questions and circle back to us. I spoke with Aiden on the NB Core Bond SMA...*

Broker Team	• Populate the **LAST NAME FIELD ONLY** with the FA's team name **if available**. Ex. The Woods Group
Salutation	Skip if not specified by WS.
First Name	Populate with **FA's** first name.
Last Name	Populate with **FA's** last name.
Nickname	Populate if required by WS and/or available on the FA's team website.
Suffix	Select if available on the FA's webpage and/or in FINRA.
Key Contact	Tick checkbox if specified by WS .
Contact Type	Select the Advisor for financial advisors, select Associate for client associates, etc.
Job Title	• Populate with the appropriate title found on the FA's team website. • Skip if none
Notes	• Populate if wholesaler specified to add details. • Additional info pertaining to the advisor available on their webpage, such as: -designations (CFP, CPA, CFA, CFPC, etc) -their CSA (client service associate) -their partner's name -firm AUM -FA's preferences, hobbies, do's & dont's -their interaction preferences, point of contact, etc. **Examples:** -Cesar Alonso is POC [ND 2-16-22] -Has about $10 billion in AUM -Doesn't do mutual funds, SMAs only
CRD#	Populate if available; refer to FINRA.
Date of Birth	Populate if WS specified; skip if none.
Contact Bio	Populate if specified by the WS; skip if none.
Email	Refer to the FA's website.
Phone	• Populate with the FA's office contact number. • Can be office phone or direct contact.
Mobile Phone	Populate if provided on the FA's website. Skip if none.
Branch	• **Must** populate with Street address. • Mirror the address line from Street field. **Examples**: 2027 Texoma Pkwy Ste 1 461 Forum Rd Ste 101 Fl 12 201 S Biscayne Blvd Ste 2000
Country	Default – United States
Street	• Detailed branch address line (with abbreviations based on Salesforce format). **Examples**: 2027 Texoma Pkwy Ste 1 461 Forum Rd Ste 101 Fl 12 201 S Biscayne Blvd Ste 2000
City	US cities; provided on the FA's webpage under Location.
State	Select the appropriate US State based on the complete address found on the FA's team website.
Zip/Postal Code	• Select the appropriate US zip code based on the complete address found on the FA's team website. **Examples:** 90210 33131 75090
Travel Zone	Select if specified by the WS; skip if none.
Campaign	• Populate with the Campaign Name dictated by the WS. • Search for the actual Campaign Name in Salesforce.
Retirement Producer	Select if specified by the WS; skip if none.
Affiliation	
NB Segment	Populate if specified by the WS; skip if none.
NB Territory	Populate if specified by the WS; skip if none.
Marketing Newsletters	Select if specified by the WS; skip if none.
Do Not Send Marketing Emails	
Do not Send Subscription Content	
Do not Send Event Webinar Invites	
Do Not Contact Reason	Populate if specified by the WS; skip if none.
Do Not Contact	Select if specified by the WS; skip if none.
Do Not Call	
Do Not Email	
Do Not Mail	
FA on Watch	
FA on Watch as of Date	Tag the date if specified by the WS; skip if none.
Offshore Coverage	Select if specified by the WS; skip if none.
General Info	Populate if specified by the WS; skip if none.



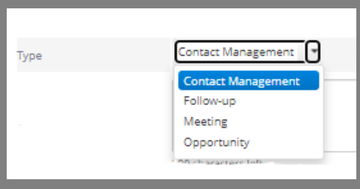


Product	• Use the Search tool and search by **Product Name** (complete NB fund name) **Example**: NB Crossroads Private Markets Fund VII Strategic Income Fund Select Opportunities Fund V • Click the plus (+) icon (if you have no CRM sync creds) • Click on the FA Name highlighted in yellow to tag
Primary Contact	• Populate with the **FA's name** • **Use the Search tool and search by either name, firm, email, city, or state** • Click the plus (+) icon (if you have no CRM sync creds) • Click on the FA Name highlighted in yellow to tag
Opportunity Name	• **For one product, format is: [FA Name]-[Firm Name Acronym/abbrev.]-[Complete Product Name]** **Examples:** Rich Kaczynski-RJ-Genesis Fund Jim Miller-MS-Long Short Fund • **For two products or more, please process separately; one note for each product opportunity.**
Expected Funding Date	• Should be the last date of the last month of the next quarter **Example:** If Opportunity was created on Feb 2020 (Q2), funding date should be September 30, 2020 (Q3) If Opportunity was created on July 2020 (Q3), funding date should be Dec 31, 2020 (Q4)
Stage	• Select as specified by Wholesaler • **DEFAULT** – **Prospecting** if none specified -Prospecting (1%) -Early Stage/ Proposal (10%) -Intermediate Stage (25%) -Late Stage/ Finals (50% -Won – In Contract (90%) -Won – Contract Signed (95%) -Won – Funded (100%) -Lost (0%)
Amount (USD)	• Populate with numbers only; no dollar sign and commas. Leave blank if not specified **Examples:** 1000, 1, 10000, 1000000, 20000, 500000
Fee Rate (BPS)	• Refer to the **Standard Fee Rate** in the search results under **Product field** • Populate with numbers only; no decimal points **Examples:** 45, 65, 55, 38
Expected Close	• Select **Other Close** if Product is either PMF VII, Select Opps V and other Private Equity products • Skip if not Private Equity products -First Close -Other Close -N/A
Description	• Populate with additional info. • If WS instructed to create opportunity for **two or more products**

App	Notes, Text, Image, Audio, or Video sent via Hey DAN app

NOTE TYPES

Meeting	DEFAULT note type / For all meetings, calls, emails, voicemails
Contact Management	Profile creation/updates
Follow-Up	Follow-up tasks
Opportunity	Adding new business/pipeline opportunities



Method and Activity Type Definition

Methods	Description	Possible Activity Types
Call	A remote interaction - by phone or Zoom session held with client/prospect, with no video enabled	Call Attempted Call Left Message Call Contact Scheduled Call
Video / Content Sharing Call	NB party had video enabled or shared screens utilizing a conference tool such as Zoom or WebEx, and/or materials were provided to the client prior to or during the meeting for reference	Call Contact Scheduled Call
In Person	An interaction where attendees met in the same physical location -this clarifies that its use is limited to in person interactions	In Person Meeting Group Meeting
Email	Email sent by Salesperson from Outlook or Salesforce; does not include mass-emails sent by Marketing, which are represented by Marketing Activities	Email Email Contact

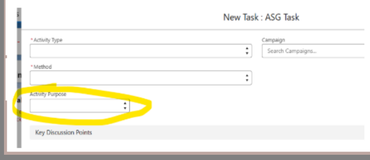
Activity Types	Description
Call Attempted	Placed an ad hoc call (i.e., with no appointment set), but did not connect with anyone and did not leave a voice mail. this clarified that contact was not made
Call Left Message	Placed an ad hoc call and left a voicemail or message with assistant.
Call Contact	Placed an ad hoc call and connected had a meaningful engagement with the intended party.
Call Contact Team Member	Discontinued use per CRM team/Samuel Clement
Scheduled Call	Held a planned call, over audio conference, Zoom or WebEx (or similar virtual communication tool)
In Person Meeting	Held a meeting in the same physical location. -this clarifies that its use is limited to in person interactions
Email	Email activities or exchanges through email

Devine, Anne Cawley [redacted] Sent on: Yesterday, 12:26 pm

RE: Dave Latour

To Neuberger-Berman-Hey-DAN-Team

There is a new field called **Activity Purpose** that is required only for certain roles (Client Advisory Specialist and Alternatives Specialist). Dave provided that info on the grid under the Heading Engagement Type (Pipeline Management, Business Development, etc). please add those to the dropdown for each activity – thank you!



Devine, Anne Cawley <ACawley@nb.com> Sent on: Today, 1:25 pm

Virtual Meetings / Zooms

This message was sent with High importance.

To Devine, Anne Cawley

As of January 16, 2026
From the POC:

Hi Team … when the team indicates that they did a virtual meeting or zoom (Activity Method), can you please default that Activity Type to Scheduled Call? If they specifically mention that it wasn't scheduled, it can be a Call Contact, but Scheduled Call should be the default. Thanks so much!

Level of Interest

Yes Interest	**Default if FA is interested in the fund**	
Yes Needs Education	If FA is interested to learn more about the fund	
No Strategy/ Asset Class	**Default if FA is NOT interested in the NB fund**	
No Timing	If FA is not able to use the NB fund at the moment or is using other firm funds, or too hectic/busy to deal with funds not in their portfolio	
No Fees	IF FA is not interested in the NB fund due to Fee concerns	
No Passive	IF FA prefers Passive management	Neuberger Berman is Active management style
No Performance	If FA is not interested due to the NB fund's Performance	
No Structure	If FA is not interested due to the NB fund's Structure/process	
No Competitor	If FA is not interested in the NB fund due to liking the competitor fund better whether it be due to performance, fees, structure, etc.	
No Consultant Dependent	Select if specified	

Level of Interest (ESG Multi-Asset Class)

Interest: (indicating a ML Product Interest is required when the ESG Strategy or Portfolio(s) are tagged as topics discussed)
(ML Only) Yes - Comfortable using CIO Models
(ML Only) Yes - Is interested in adding IMM as compliment to CIO
(ML Only) Yes - Unhappy with CIO models and looking for new options
(ML Only) Yes - Looking to change Investment Process to incorporate model portfolios
(ML Only) Yes - Has requested additional information and requires follow up
(ML Only) No - No intention of utilizing Model portfolios
(ML Only) No - Creates own CMS
(ML Only) No - Uses Advisor Discretion
(ML Only) No - Uses Client Discretion

Under NB Resources, tag 401(K) for the following Retirement wholesalers during transcription.

Marcie Blanco
Patrick Lovett
Mark Pottle
Michelle Rappa
Matthew Tollison
Christopher Walker

Thomas Marthaler	David Rosa	blind trust	Wasatch	Surviving Spouse Checklist	Lion's' share
Brad Tank	Joseph V. Amato	beta	Voya (ING)	Asset Allocation Committee	keep chipping away
Anthony Tutrone	Ria Nova	A Transforming World (ATW)	Wachovia	Life Events Series	ride out the storm
Richard Nackenson	Conor Shalloe	ECB (European Central Bank)	Bahl & Gaynor	Family Love Letter	roll the dice on
Tom Sobanski	Bob Rice	Fed/Federal Reserve/Federal Reserve System	Zacks	Retirement Tier	hit if off
Conrad Saldanha	Jeremy Russo	ADR/International ADR	Goldman Sachs	Things that Happen to You	plate lickers
Keith Skinner	Dave Marinofsky	Load-waived funds	Cohen & Steers	Solving for 2022	tapped out
James McAree	Ted Vogel	PMP (Portfolio Management Program)	Olde Discount		heavy lifting
Robert “Bob” D'Alelio	Paresh Shah	MAP report (Multi-Asset Portfolio) (Morgan Stanley)	Partners Group		white-glove treatment
Haik Kevorkian	Michael Moschetta	UMA Platform (Unified Managed Account)	Central Park Group		still on the fence
Mike Sandow	MG Subhas	alternative sleeve/suite/space	Blackstone		move the needle
Marc Regenbaum	Elizabeth Reagan	GIC report (Global Investment Committee – Morgan Stanley)	Carlisle		shot the breeze
Rory Ewing	Ben Helvey	Wrap Account	Bear Stearns		the writing is on the wall
David Levine	Calliea Pan	RIA platform	CAIS		to keep an eye
Jaques Clough	Nagesh Gopal	IRA			move the needle
Jake Rothbard	Gianni Conti	tchotchkes			the dust is settling
Nickie Yue	Gennaro Ferrara	VIX			where the puck is going
Noah Shulman	Nathan Kush	RetirementReady Fund			weather the storm
Manisha Kathuria Ali	Louis LaValle	3(21) fiduciary			pick my brain
Eli Salzmann	Joe Haag	3(38) fiduciary			pared down/pared back
Randy Gross	Patricia “Patty” Lio	One/two/three/four/five-star fund			mea culpa
Dan Hanson	Avery Kiser	Sharpe ratio			pitch
Benjamin Nahum	David Stonberg	Alpha/Beta/R-squared/standard deviation/tracking error/Ratios			cross-sell
Kelly McMahon	Klaudia Czarnocka	batting average			cold-calling
Ben Perl	Scott Kilgallen	state-space analysis			door-knocking
Derek Devens					
James “Jamie” Iselin	Joe Amato				
Jacob Greene	Kylie Stevens	tax loss harvesting			Keep/Sell analysis
Ray Carroll	Keri Nuzzi	tax loss carryforward			cross-reference
Jay Berger		bull and bear market			deck
Stephen Leone		actuaries			dial down
Kristian Lind		ERISA			dial back
Doug Manor		omnibus trust account			pitch deck
David Morse		TPSU			staying pat
Peter Moukios		alpha generator			throw the baby out with the bathwater
Tristram Perkins		Loyal Client Advocate			ducks in a row
Andy Pritti		ClientWise			all eggs in one basket
Douglas Rachlin		Asset Allocation Committee (views, overview, outlook, market views, etc)			
Richard Werman		3(16) fiduciary			
Michael Kaminsky		capital calls			
David Ross		vintages			
Jennifer Gorgoll		EBITDA			
Jeremy Deutsch		LatAm			
David Lyon					
Maximiliano “Max” Rohm					
Theresa Pellegrino-Simon					
Robert Pearlman					
Olumide Owolabi					
Gorky Urquieta					
Joe Lynch					

(Strategy) the strategy group that the fund lineup belongs to ("umbrella")
fund names in parentheses are examples of the strategy
CIT: collective investment trust/ collective trust
CIO: Lonber Capabilities: Custom Direct Investing, Direct Indexing
OCIO: Lonber Capabilities:Dynamic Concentrated Stock Management

PRODUCT/ STRATEGY (based on Salesforce)	Alternate Name or referred to as	Ticker Symbols
Absolute Return Multi- Manager (Strategy)		
Absolute Return Multi Strategy Fund (Mutual Fund)	ARMM	NABIX
ADVS Absolute Return Multi Strategy Fund		
Advisory Ready Securities (Strategy)		
Advisory Ready Securities Canada Fund (Private Fund - Commingled)		
Advisory Ready Securities Fund 2 (Private Fund - Commingled)		
Advisory Ready Securities Fund 3 (Private Fund - Commingled)		
Advisory Ready Securities Fund VI (Private Fund - Commingled)		
Advisory Ready Securities Fund VIII (Private Fund - Commingled)		
Advisory Ready Securities SI (Private Fund - Commingled)		
AMTD Investor, L.P. (Private Fund - Commingled)		
Asset Debt - Hard Currency (Strategy)		
LCOTS Asia Responsible Transition Bond Fund		
Athyrium (Strategy)		
Athyrium Opportunities Fund (Private Fund - Commingled)		
Athyrium Opportunities Fund II (Private Fund - Commingled)		
Athyrium Opportunities Fund III (Private Fund - Commingled)		
Balanced (Strategy)		
Neuberger Balanced Large Cap Value/Municipal Fixed Income - Limited Maturity (MAS/ SMA)		
Neuberger Balanced Large Cap Value/Taxable Fixed Income - Intermediate Maturity (MAS/ SMA)		
Blue Owl Financing (Strategy)		
Blue Owl Financing (Private Fund)		
Blue Owl GP Stakes (Strategy)		
Blue Owl GP Stakes (Private Fund)		
Blue Owl Fund II (Private Fund)		
Blue Owl Fund III (Private Fund)		
Blue Owl Offshore Investors LP (Private Fund)		
Capital Solutions (Strategy)		
Capital Solutions Fund II (Private Fund - Commingled)		
Credit Opportunities Fund II (Private Fund - Commingled)		
Private Equity Credit Opportunities (Private Fund - Commingled)	PECO	
CLO Third Party Investing (Strategy)		
LCOTS NB CLO Income Fund		
Commodities (Strategy)		
Commodity Strategy ETF (NBCM)	Commodities Strategy ETF	NBCM
LCOTS Commodities Fund	Commodities UCITS	
Core Bond (Strategy)		
Core Bond Fund (US Mutual Fund)	Core Bond	NCRLX
Core Bond Trust (CIT)		
Neuberger Taxable Fixed Income - Core Bond (MAS/ SMA)	Core Bond SMA, Taxable Core	
Core Bond Quality Bias (Strategy)		
Neuberger Core Bond Quality Bias (PAM/ SMA)		
Core Plus (Strategy)		
Core Plus Trust (CIT)		
Total Return Bond ETF (NBTR)	Total Return ETF	NBTR
Corporate Hybrid (Strategy)		
LCOTS Corporate Hybrid Fund	Corporate Hybrid UCITS	
Crossroads (Strategy)		
Crossroads 2012 (Private Fund - Commingled)		
Crossroads 23 (Private Fund - Commingled)		
Crossroads 24 (Private Fund - Commingled)		
Crossroads Fund XXII (Private Fund - Commingled)		
Crossroads Fund XXIII (Private Fund - Commingled)		
Crossroads XXI (Private Fund - Commingled)		
Crossroads XXI Offshore Fund (Private Fund - Commingled)	PMF, PMF IV, PMF 4	
Crossroads Private Markets Fund II (Closed End Fund)	PMF, PMF II, PMF 2	
Crossroads Private Markets Fund V (Closed End Fund)	PMF, PMF V, PMF 5	
Crossroads Private Markets Fund VI (Closed End Fund)	PMF, PMF VI, PMF 6	
Crossroads Side Fund - Taxable Offshore (Fund - Commingled)	PMF, Access Fund, NB Access Fund	
Customized FI Family (Strategy)		
Customized Hedge Fund of Funds (Strategy)		
Customized Hedge Fund of Funds (Private Fund - Fund of One)		
Customized Private Debt (Strategy)		
Customized Private Debt (Private Fund - Fund of One)		
Customized Private Equity (Strategy)		
Customized Private Equity (Private Fund - Fund of One)		
Disruptors All Cap Growth (Strategy)	Disrupters	
Neuberger Disruptors (MAS/ SMA)	Disrupters SMA	
Disruptors ETF (NBDS)	Disrupters ETF	NBDS
Distressed Fund of Funds (Strategy)		
Distressed Opportunities Fund II (Private Fund - Commingled)		
Dividend Growth (Strategy)		
Dividend Growth Fund (US Mutual Fund)		
Emerging Markets Debt (Blend) (Strategy)		
Emerging Markets Debt Fund (US Mutual Fund)	EM Debt	NEMAX
Emerging Markets Debt Trust (CIT)		
LCOTS Emerging Market Debt Blend Fund		
Emerging Markets Debt (China Bonds) (Strategy)		
LCOTS China Bond Fund		
Emerging Markets Debt (Corporate) (Strategy)		
LCOTS EM Corporate - Local and International Transition Fund		
Emerging Markets Debt Hard Currency (Strategy)		
Emerging Markets Debt Hard Currency ETF (NEMD)	EMD Hard Currency ETF	NEMD
LCOTS Emerging Market Debt - Hard Currency Fund		
Emerging Markets Debt (Local Currency) (Strategy)		
LCOTS Emerging Market Debt - Local Currency Fund		
Emerging Markets Equity (Strategy)		
Emerging Markets Equity ETF (NBEM)	Equity Income, EI	NBEM
Emerging Markets Equity - Trust (CIT)		
LCOTS Emerging Markets Equity Fund		
Emerging Markets Equity ADR (Strategy)		
Neuberger Emerging Markets Equity (MAS/ SMA)	EME ADR, Emerging Markets SMA	
Energy Transition & Infrastructure (with MLPs) (Rachito) (Strategy)		
Energy Transition & Infrastructure ETF (NBET)	Energy Transition & Infrastructure ETF	NBET
Neuberger Infrastructure Plus (Rachito) (PAM/ SMA)		
Neuberger Energy Transition & Infrastructure (w MLPs) (Rachito) (Strategy)		
Neuberger Energy Transition & Infrastructure (MAS/ SMA)		
Equity Income (Strategy)		
Equity Income Fund (US Mutual Fund)		
Neuberger Equity Income (Sleeve) (PAM/ SMA)	Equity Income, EI	NBHIX
European High Yield (Strategy)		
LCOTS Euromarket High Yield		
Fixed Maturity Bond (Strategy)		
LCOTS Developed Market FMP - 2027		
LCOTS Global Diversified Income Fixed Maturity Portfolio (FMP) 2024 Fund		
Global Bond Absolute Return (Strategy)		
LCOTS Global Bond Absolute Return Fund		
Global High Yield (Strategy)		
LCOTS Global High Yield Engagement Fund		
Global Private Equity Evergreen (Strategy)		
Global Private Equity Access Fund (Fee'd ESAs)		
Global Real Estate Securities (Strategy)		
Global Real Estate Fund (US Mutual Fund)	Global REIT	
LCOTS Global Real Estate Securities Fund		
Global Megatrends (Strategy)		
LCOTS Global Equity Megatrends Fund	Global Equity Megatrends	
GP-Led Real Estate Secondary Opportunities (Strategy)		
GP-Led Real Estate Secondary Opportunities (Private Fund - Commingled)		
Insurance-Linked Strategies (Strategy)		
NB Insurance-Linked Strategies Fund LP (Private Fund - Commingled)		
Intermediate Duration (Strategy)		
Neuberger Taxable Fixed Income - Intermediate Maturity (MAS/ SMA)		
International ADR (Strategy)		
Neuberger International ADR (MAS/ SMA)	International ADR	
International All Cap (Strategy)		
International Equity Fund (US Mutual Fund)	International Equity	NBIIX
International Equity ADR w/o US (Strategy)		
World ex-US Trust (CIT)		
International Select (Strategy)		
International Select Fund (US Mutual Fund)		
International Select Trust (CIT)		
International Small Cap (Strategy)		
International Small Cap Fund (US Mutual Fund)		
Japan Equity All Cap (Strategy)		
Japan Equity ETF (NBJP)	Japan ETF	NBJP
Large Cap Disciplined Growth (Strategy)		
Neuberger Large Cap Disciplined Growth (MAS/ SMA)		
LCOTS US Large Cap Growth Fund		
Large Cap Growth (Strategy)		
Large Cap Growth Fund (US Mutual Fund)	Growth ETF	NBGX
Neuberger Large Cap Growth (MAS/ SMA)	Old Name – Genesis Fund, LCG	NGAMX
LCOTS U.S. Equity Fund		
Large Cap Value (Strategy)		
Large Cap Value Fund (US Mutual Fund)	LCV, Large Value, Value Fund	NBPIX
Large Cap Value Trust (CIT)		
Neuberger Large Cap Value (PAS/ SMA)	Large Value SMA	
LCOTS US Large Cap Value Fund		
Long Bond (Strategy)		
LCOTS Long Bond Fund (Mutual Fund)		
LCOTS US Bank Long Bond Fund		
Marquee Brands (Strategy)		
Marquee Brands (Private Fund - Commingled)		
Marquee Brands II (Private Fund - Commingled)		
Mid Cap Growth (Strategy)		
Mid Cap Growth Fund (US Mutual Fund)		
Mid Cap Growth Trust (CIT)		
Mid Cap Intrinsic Value (Strategy)		
Mid Cap Intrinsic Value Fund (US Mutual Fund)	Mid Cap Value	NBRTX
Multi-Cap Opportunities (Strategy)		
Multi-Cap Opportunities Fund (US Mutual Fund)	Multi Cap Opportunities, Multi Cap Oppo, MCO	NMULX
LCOTS US Multi Cap Opportunities Fund	Multi Cap UCITS	
Multi-Asset Income (Strategy)		
Multi-Asset Income Fund (US Mutual Fund)		
Multi-Factor Equity - International (Strategy)		
International Core Equity ETF (NBIC)	International Core ETF	NBIC
Multi-Sector Credit (Strategy)		
Flexible Credit ETF (NBFC)		
Neuberger Multi-Sector Credit Fund - Commingled		
LCOTS Global Flexible Credit Income Fund	US, US, Long Short	NLSIX
Municipal - Core (Strategy)		
Neuberger Municipal - Core (MAS/ SMA)		
Municipal - Extended Core (Strategy)		
Neuberger Municipal - Extended Core (MAS/ SMA)		
Municipal - High Yield (Strategy)		
Municipal High Income Fund (US Mutual Fund)	MCG, Mid-Cap Growth	NMHLX
Municipal - Impact (Strategy)		
Municipal Impact Fund (US Mutual Fund)		
Municipal - Intermediate Maturity (Strategy)		
Intermediate Municipal Fund (Closed End Fund)		
Municipal Intermediate Bond Fund (US Mutual Fund)	Muni Intermediate Fund	NBMKX
Neuberger Municipal - Intermediate Maturity (MAS/ SMA)	Intermediate Muni SMA, Tax-Exempt Intermediate SMA	
Municipal - Limited Maturity (Strategy)		
Neuberger Municipal - Limited Maturity (MAS/ SMA)	Limited Muni SMA, Tax-Exempt Limited SMA	
Municipal - Long Maturity (Strategy)		
Neuberger Municipal - Full Market (MAS/ SMA)		
Municipal - New York (Strategy)		
New York Municipal Income Fund (US Mutual Fund)	New York Muni	NBNYX
Municipal - Short Core (Strategy)		
Neuberger Municipal - Short Core (MAS/ SMA)		
Municipal - Short Duration (Strategy)		
Neuberger Municipal - Short Duration (MAS/ SMA)	Flexible Credit ETF	NBFC
Neuberger CD-UIL Large-Cap Core Tax-Managed (10/30)		
Neuberger CD-UIL Large-Cap Core Tax-Managed (30/70) w/ Tax Management (PAM/ SMA)		
Neuberger Energy Transition & Infrastructure (w MLPs) (Rachito)		
Neuberger Energy Transition & Infrastructure (MAS/ SMA)	Energy Transition & Infrastructure SMA, ETI SMA	
Neuberger Income Plus (Rachito)		
Neuberger Income Plus (MAS/ SMA)	Rachito Income Plus SMA	
Neuberger Income Plus (Rachito) (PAM/ SMA)		
Neuberger MLPs (Rachito) (Strategy)		
Neuberger MLP Income Fund, Inc. (Closed-End Fund)	Energy Infrastructure and Income Fund	
Neuberger MLP (Rachito) (PAM/ SMA)		
Next Generation Connectivity Asia (Strategy)		
LCOTS Innovation ECI Fund		
Next Generation Connectivity (Strategy)		
LCOTS Next Generation Connectivity Fund		
Next Generation Connectivity (GDT) (Strategy)		
Next Generation Connectivity Fund (Closed End Fund)		
Next Generation Connectivity - ADR (Strategy)		
Neuberger Next Generation Connectivity ADR (MAS/ SMA)	Next Gen SMA	
Next Generation Consumer Connectivity (Strategy)		
Next Generation Consumer Connectivity ETF (NBCC)		NBCC
Opportunistic Capital Solutions (Strategy)		
Neuberger Berman Opportunistic Capital Solutions Fund (Private Fund - Commingled)		
Options - Crypto Portfolio (Strategy)		
Options – Hedged Cryptocurrency Volatility Fund (Private Fund - Commingled)		
Options - S&P 500 Hedged (Option Premium) (Strategy)		
Neuberger Hedged Option Premium Overlay (PAM/ SMA)		
Options - S&P 500 Portfolio (Strategy)		
Options Strategy ETF (NBOS)	Options ETF	NBOS
U.S. Equity Index Portfolio Strategy Fund (US Mutual Fund)		
LCOTS US Equity Premium Fund	Equity Premium	
Options - S&P 500 Portfolio (OTM Overlay) (Strategy)		
Neuberger Options - S&P 500 Portfolio (PAM/ SMA)		
PE Retirement Access (Strategy)		
Private Equity Retirement Fund (CIT)		
Private Debt (Strategy)		
Private Debt (Private Fund - Commingled)		
Private Debt - Offshore (Private Fund)		
Private Debt Fund II (Private Fund - Commingled)		
Private Debt Fund IV (Private Fund - Commingled)		
Private Debt Fund V (Private Fund - Commingled)		
Private Markets Access (Insurance) (Strategy)		
Private Equity Access Insurance Dedicated Fund (Private Fund - Commingled)		
Private Equity Impact (Strategy)		
Private Equity Impact (Private Fund - Commingled)		
Private Equity Impact II (Private Fund - Commingled)		
Quality Catholic Values (Strategy)		
Neuberger Catholic Values Equity (MAS/ SMA)	Catholic Equity SMA, Catholic Values SMA	
Quality Equity (Strategy)		
Quality Equity Fund (US Mutual Fund)	Old Name – Sustainable Equity Fund, Quality Equity, (SpaceX)	NBSLX
Neuberger Quality Equity (MAS/ SMA)	Old Name – Sustainable Equity SMA	
Quality Equity Trust (CIT)		
AMT Quality Equity Portfolio (AMT Fund)		
Research Opportunities (Strategy)		
Core Equity ETF (NBOR)	Core Equity ETF	NBOR
Secondary Opportunities (Strategy)		
Secondary Opportunities Fund II LP (Private Fund - Commingled)		
Secondary Opportunities Fund II LP (Private Fund - Commingled)		
Secondary Opportunities Fund III LP (Private Fund - Commingled)		
Secondary Opportunities Fund IV (Private Fund - Commingled)		
Secondary Opportunities Fund V (A), B (Private Fund - Commingled)		
Secondary Opportunities Fund V (Private Fund - Commingled)	SOF V, SOF 5, Secondary Opps 5	
Secondary Opportunities Fund VI (Private Fund - Commingled)	SOF VI, SOF 6, Secondary Opps 6	
Strategic Capital Fund II LP (Private Fund - Commingled)	Strat Cap, Strategic Capital	
Secondary Opportunities - Real Estate (Strategy)		
Real Estate Secondary Opportunities Fund (Private Fund - Commingled)	RESOF	
Real Estate Secondary Opportunities Fund II (Private Fund - Commingled)	RESOF II	
Real Estate Secondary Opportunities Fund III (Private Fund - Commingled)	RESOF III	
Select Equities (Strategy)		
Neuberger Select Equities (MAS/ SMA)		
Select Opportunities (Strategy)		
Select Opportunities Fund (Private Fund - Commingled)		
Select Opportunities Fund II (Private Fund - Commingled)		
Select Opportunities Fund III (Private Fund - Commingled)		
Select Opportunities Fund IV (Private Fund - Commingled)	Select Opps 5, Select Opps V, SELO 5, SELO V	
Select Opportunities Fund V (Private Fund - Commingled)	Select Opps 6, Select Opps VI, SELO 6, SELO VI	
Select Opportunities Fund VII (Private Fund - Commingled)	Select Opps 7, SELO VII	
Short Duration (Strategy)		
Neuberger Taxable Fixed Income - Limited Maturity (MAS/ SMA)		
Short Duration Emerging Markets Debt (Strategy)		
LCOTS Short Duration Emerging Market Debt Fund		
Short Duration High Yield (Strategy)		
Short Duration High Yield Fund (US Mutual Fund)	SDHY, Short Duration High Yield	NSHIX
LCOTS Short Duration High Yield Engagement Fund	SDHY, Short Duration High Yield UCITS	
Short Duration Strategic Multi-Sector Fixed Income (Strategy)		
Short Duration Income ETF (NBSD)	Short Duration ETF	NBSD
Short Duration Bond Fund (US Mutual Fund)		
Small Cap (Strategy)		
Small Cap Growth Fund (US Mutual Fund)	GEN, Genesis	NBGIX
LCOTS US Small Cap Fund	Small Cap UCITS	
Small Cap Growth (Strategy)		
Small Cap Growth Fund (US Mutual Fund)	SCG, Small-Cap Growth	NBSWX
Small Cap Growth Trust (CIT)		
Small Cap Intrinsic Value (Strategy)		
Neuberger Small Cap Intrinsic Value (PAS/ SMA)	SCV Fund, Intrinsic Value	NINLX
Neuberger Small Cap Intrinsic Value (MAS/ SMA)	Small Cap Value SMA, SCV SMA	
LCOTS US Small Cap Intrinsic Value Fund	Small Cap Value UCITS, Intrinsic Value UCITS	
Smart Mobility (Strategy)		
LCOTS Next Generation Mobility Fund	Next Gen UCITS, Next Gen Mobility UCITS	
Specialty Finance (Strategy)		
NB Specialty Finance Fund (Private Fund - Commingled)		
NB Specialty Finance Fund (Private Fund - Commingled)		
NB Specialty Finance Fund II (Private Fund - Commingled)		
NB Specialty Finance Fund III (Private Fund - Commingled)	Specialty Finance, Specialty Finance Fund III	
Strategic Multi-Sector Fixed Income (Strategy)		
Strategic Income Fund (US Mutual Fund)	Strategic Income, Strat Inc	NSTLX
Strategic Multi-Sector Fixed Income Trust (CIT)		
LCOTS Strategic Income Fund	Strategic Income UCITS	
Strategic Co-Investment Partners (Strategy)		
Co-Investment Partners LP (Private Fund - Commingled)		
Co-Investment Partners LP II (Private Fund - Commingled)		
Strategic Co-Investment Partners LP III (Private Fund - Commingled)		
Strategic Co-Investment Partners IV (Private Fund - Commingled)	Co-Invest IV, CoIV, CoI 4	
Strategic Co-Investment Partners V (Private Fund - Commingled)	Co-Invest V, CoV, CoI 5	
Sustainable Asset-Based Strategies (Strategy)		
Neuberger Multi-Sustainable Multi-Asset - Two Asset Aggressive (MAS/ Model)	MM, Multi-Asset Portfolios, Marrill or ML ESG Portfolios, ESG Model Portfolios	
Neuberger Multi-Sustainable Multi-Asset - Two Asset Moderate (MAS/ Model)	MM, NBAMAM ESG Aggressive - High Tax Sensitivity	
Neuberger Multi-Sustainable Multi-Asset - Two Assets Moderate (MAS/ Model)	MM, NBAMAM ESG Moderate - High Tax Sensitivity	
Neuberger Multi-Sustainable Multi-Asset - Two Assets Aggressive (MAS/ Model)	MM, NBAMAM ESG Aggressive - High Tax Sensitivity	
Neuberger Multi-Sustainable Multi-Asset - Taxable Aggressive (MAS/ Model)	MM, NBAMAM ESG Aggressive - Low Tax Sensitivity	
Neuberger Multi-Sustainable Multi-Asset - Taxable Conservative (MAS/ Model)	MM, NBAMAM ESG Conservative - Low Tax Sensitivity	
Neuberger Multi-Sustainable Multi-Asset - Taxable Moderate (MAS/ Model)	MM, NBAMAM ESG Moderate - Low Tax Sensitivity	
Unconstrained Strategies (Strategy)		
LCOTS Unconstrained Strategies Fund	Unconstrained Strategies UCITS	
US High Yield (Strategy)		
High Income Bond Fund (CIT)	High Yield UCITS	
High Income Bond Fund (US Mutual Fund)	High Yield Fund	NHILX
High Income Bond Trust (Closed End Fund)		
High Yield Strategies Fund (to (Closed End Fund)		
LCOTS High Yield Bond Fund		
US Real Estate Securities (Strategy)		
Real Estate Fund (US Mutual Fund)	REIT, Real Estate Fund	NBRVX
Neuberger Real Estate Securities (MAS/ SMA)	REIT SMA	
Real Estate Income Fund (Closed End Fund)		
Real Estate Trust (CIT)		
LCOTS US Real Estate Securities Fund	Real Estate UCITS, REIT UCITS	
US Small & Mid Cap (Strategy)		
Genesis Small Cap Fund (US Mutual Fund)	Genesis SMA	
Small-Mid Cap ETF (NBSM)	Small Mid ETF	NBSM
US Senior Floating Rate Loans (Strategy)		
Floating Rate Income Fund (US Mutual Fund)	FR, Floating Rate	NFIX
US Senior Floating Rate Loans - CLO (Strategy)		
CLO ETI (FLOA)		
World Equity (Strategy)		
LCOTS World Equity Fund		
Asset		
Neuberger Neuberger All Cap Core (MA/SMA/term) (MAS/ SMA)		
Neuberger Equity (MA/SMA/term) (PAM/ SMA)		
Neuberger Fixed Income (MA/SMA/term) (PAM/ SMA)		
RANITOR PRIVATE OPPORTUNITIES VII (Private Fund - Commingled)		
Neuberger All Cap Core (Rachito) (PAM/ SMA)		
Neuberger All Cap Core (Rachito) (PAM/ SMA)		
Neuberger Flexible Credit (Rachito) (PAM/ SMA)		
Neuberger Agriculture Portfolio (MAS) (PAM/ SMA)		
Neuberger Balanced (MAS) (PAM/ SMA)		
Neuberger Equity (MAS) (PAM/ SMA)		
The KSE Value Group - All Cap Value (MAS/ SMA)		
Neuberger Dynamic Core Equity (Sleeve) (MAS/ SMA)		
Neuberger Intrinsic Value (Sleeve) (PAM/ SMA)		
Neuberger Mid to Large Value (Sleeve) (PAM/ SMA)		